Record List Display Page 2 of 5

| Fuil | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KMMC | Drawe Desc | Image |
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3. Document ID: US 6751635 B1

L1: Entry 3 of 3

File: USPT

Jun 15, 2004

US-PAT-NO: 6751635

DOCUMENT-IDENTIFIER: US 6751635 B1

TITLE: File deletion and truncation using a zombie file space

DATE-ISSUED: June 15, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Chen; Ray Campbell CA Edwards; John K. Sunnyvale CA

Patel; Kayuri Cupertino CA

ASSIGNEE-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY TYPE CODE

Network Appliance, Inc. Sunnyvale CA 02

APPL-NO: 09/642066 [PALM]
DATE FILED: August 18, 2000

INT-CL-ISSUED: $[07] \underline{G06} \underline{F} \underline{17/30}$

US-CL-ISSUED: 707/200; 707/10, 707/202, 707/206 US-CL-CURRENT: 707/200; 707/10, 707/202, 707/206

FIELD-OF-CLASSIFICATION-SEARCH: 707/10, 707/201, 707/203, 707/206, 707/204, 707/205, 707/200,

707/202, 711/113, 711/114, 709/203, 709/219, 709/229 See application file for complete search history.

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

| PAT-NO | ISSUE-DATE | PATENTEE-NAME | US-CL |
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| 4937763 | June 1990 | Mott | 702/183 |
| 5067099 | November 1991 | McCown et al. | 702/183 |
| 5088031 | February 1992 | Takasaki et al. | 709/100 |
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Record List Display Page 3 of 5

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| 5564037 | October 1996 | Lam | 711/161 |
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| 5963962 | October 1999 | Hitz et al. | 707/202 |
| 5991753 | November 1999 | Wilde | 707/2 |
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| 6529921 | March 2003 | Berkowitz et al. | 715/500.1 |
| 2002/0083081 | June 2002 | Chen et al. | |
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| FOREIGN-PAT-NO | PUBN-DATE | COUNTRY | CLASS |
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| 0 474 395 | August 1991 | EP | |
| 0537098 | April 1993 | EP | |
| 702 815 | August 2000 | EP | |
| WO 94/29807 | December 1994 | WO | |
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TUX 2: Slashdot.com TUX 2 "The File System That Would Be King", Microsoft Internet Explorer, Oct. 20, 2000.

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Hutchinson et al. "Logical vs. physical file system back up." Third Symposium on Operating Systems Design and Implementation, New Orleans, LA, USA, Feb. 22-25, 1999, pp. 239-249.

ART-UNIT: 2177

PRIMARY-EXAMINER: Homere; Jean R.

ASSISTANT-EXAMINER: Wong; Leslie

ATTY-AGENT-FIRM: Swernofsky Law Group PC

ABSTRACT:

A method and system for reliably performing extra-long operations in a reliable state-full system (such as a file system). The file system includes a separate portion of the file system reserved for files having extra-long operations in progress, including file deletion and file truncation. This separate portion of the file system is called the zombie file space; it includes a separate name space from the regular ("live") file system that is accessible to users, and is maintained as part of the file system when recording a consistency point.

27 Claims, 4 Drawing figures

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| Term | Documents |
| ZOMBIE | 193 |
| ZOMBIES | 57 |
| FILESPACE | 37 |
| FILESPACES | 4 |
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Display Format: - Change Format

Previous Page Next Page Go to Doc#

Hit List

First HitClear Generate Collection Print Fwd Refs Bkwd Refs Generate OACS

Search Results - Record(s) 1 through 3 of 3 returned.

1. Document ID: US 20050033775 A1

Using default format because multiple data bases are involved.

L1: Entry 1 of 3

File: PGPB

Feb 10, 2005

PGPUB-DOCUMENT-NUMBER: 20050033775

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050033775 A1

TITLE: File deletion and truncation using a zombie file space

PUBLICATION-DATE: February 10, 2005

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY Chen, Ray Campbell CA US Edwards, John K. Sunnyvale CA US Patel, Kayuri Cupertino CA US

US-CL-CURRENT: 707/200

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2. Document ID: US 20020083081 A1

L1: Entry 2 of 3 File: PGPB Jun 27, 2002

PGPUB-DOCUMENT-NUMBER: 20020083081

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020083081 A1

TITLE: Manipulation of zombie files and evil-twin files

PUBLICATION-DATE: June 27, 2002

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY Chen, Raymond C. Campbell CA US Edwards, John Sunnyvale CA US Patel, Kayuri Cupertino CA US

US-CL-CURRENT: <u>707/200</u>

Record Display Form Page 1 of 1

Next Doc Go to Doc# First Hit Previous Doc

> Generate Collection Print

L6: Entry 5 of 16 File: PGPB Sep 4, 2003

DOCUMENT-IDENTIFIER: US 20030167287 A1 TITLE: Information protection system

Detail Description Paragraph:

[0061] The file checking process may perform an additional check for unauthorized zombie files at the target location 110. If a file is found at the target location 110 that is not contained in archive 100, then the file is checked to determine if it is a zombie file. This zombie file check may include checking the file against a list of files and folders that are to be excluded for checking for added files. If the file is in the exclusion list, then the added file is ignored. If the file is not in the exclusion list, then the file at the target location 110 may be copied into quarantine area 120 and removed from the target location 110. The zombie file check process may be utilized to prevent hackers from placing files on a web site with the intent of using the web server as a Zombie server to host their files.

Detail Description Paragraph:

[0062] A file quarantine process in accordance with various aspects of the present invention, referring now to FIG. 9, suitably uses a guarantine area 120 to hold files that have been detected as altered files or as zombie files, so that the files may be later inspected. During a file checking session, a location within the quarantine area may be created (step 900) when the first file that needs to be quarantined is detected. The quarantine location may be identified (step 910) by use of a unique identifier such as using the date and time of the file checking processes, the name of the file collection 300 that is being checked, the name of the target location 110 that is holding the altered file, and/or the name of the altered file itself.

> Previous Doc Go to Doc# Next Doc

Hit List

First HitClear Generate Collection Print Fwd Refs Bkwd Refs Generate OACS

Search Results - Record(s) 1 through 16 of 16 returned.

1. Document ID: US 20050033775 A1

Using default format because multiple data bases are involved.

L6: Entry 1 of 16

File: PGPB

Feb 10, 2005

PGPUB-DOCUMENT-NUMBER: 20050033775

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050033775 A1

TITLE: File deletion and truncation using a zombie file space

PUBLICATION-DATE: February 10, 2005

INVENTOR-INFORMATION:

NAME CITY COUNTRY STATE Chen, Ray Campbell CA US Edwards, John K. Sunnyvale CA US Patel, Kayuri Cupertino CA US

US-CL-CURRENT: <u>707/200</u>

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | IOMC | Draws Desc | Image |
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2. Document ID: US 20040139273 A1

L6: Entry 2 of 16

File: PGPB

Jul 15, 2004

PGPUB-DOCUMENT-NUMBER: 20040139273

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040139273 A1

TITLE: Space allocation in a write anywhere file system

PUBLICATION-DATE: July 15, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY Doucette, Douglas P. Freeland WA US Lewis, Blake Palo Alto CA US Edwards, John K. Sunnyvale CA US

US-CL-CURRENT: 711/100

Record List Display Page 2 of 22

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims MMC Draw Desc Image

3. Document ID: US 20040059866 A1

L6: Entry 3 of 16 File: PGPB Mar 25, 2004

PGPUB-DOCUMENT-NUMBER: 20040059866

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040059866 A1

TITLE: System and method for representing named data streams within an on-disk structure of a

file system

PUBLICATION-DATE: March 25, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY

Patel, Kayuri Cupertino CA US Muhlestein, Mark Tuscon AZ US

US-CL-CURRENT: <u>711</u>/<u>100</u>

| Full | Title | Citation | eview | Classification | Date | Reference | Sequences | Attachments | Claims | KMC | Drawd Desc | Image |
|------|-------|----------|-------|----------------|------|-----------|-----------|-------------|--------|-----|------------|-------|
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4. Document ID: US 20030182253 A1

L6: Entry 4 of 16 File: PGPB Sep 25, 2003

PGPUB-DOCUMENT-NUMBER: 20030182253

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030182253 A1

TITLE: System and method for restoring a single file from a snapshot

PUBLICATION-DATE: September 25, 2003

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY Chen, Raymond C. Campbell CA US Patel, Kayuri Cupertino CA US Kahn, Andy C. San Francisco CA US Edwards, John K. Sunnyvale CA US

US-CL-CURRENT: 707/1

| Full | Title Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KOME | Drawi Desc | lmage |
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5. Document ID: US 20030167287 A1

L6: Entry 5 of 16

File: PGPB

Sep 4, 2003

PGPUB-DOCUMENT-NUMBER: 20030167287

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030167287 A1

TITLE: Information protection system

PUBLICATION-DATE: September 4, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY

Forster, Karl

Paradise Valley

AZ

US

US-CL-CURRENT: 707/203

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6. Document ID: US 20020083081 A1

L6: Entry 6 of 16

File: PGPB

Jun 27, 2002

PGPUB-DOCUMENT-NUMBER: 20020083081

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020083081 A1

TITLE: Manipulation of zombie files and evil-twin files

PUBLICATION-DATE: June 27, 2002

INVENTOR-INFORMATION:

NAME Chen, Raymond C.

CITY

STATE

COUNTRY

Campbell Sunnyvale CA CA US

Edwards, John Patel, Kayuri

US

Cupertino

CA

US

US-CL-CURRENT: <u>707/200</u>

| | Full Title Citation | Front Review | Classification Date | Reference Sequences | Attachments Claims | KWAC | Draw, Desc Image |
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7. Document ID: US 20020083037 A1

L6: Entry 7 of 16

File: PGPB

Jun 27, 2002

PGPUB-DOCUMENT-NUMBER: 20020083037

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020083037 A1

TITLE: Instant snapshot

Record List Display Page 4 of 22

PUBLICATION-DATE: June 27, 2002

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY

Lewis, Blake Palo Alto CA US
Edwards, John Sunnyvale CA US
Viswanathan, Srinivasan Fremont CA US

US-CL-CURRENT: 707/1

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KONC | Drawu Desc | lmage |
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8. Document ID: US 6952797 B1

L6: Entry 8 of 16 File: USPT Oct 4, 2005

US-PAT-NO: 6952797

DOCUMENT-IDENTIFIER: US 6952797 B1

TITLE: Block-appended checksums

DATE-ISSUED: October 4, 2005

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY ZIP CODE Kahn; Andy Santa Clara CA 95050 Mountain View Sundaram; Rajesh CA 94040 Viswanathan; Srinivasan Fremont CA 94536 Kleiman; Steven R. Los Altos CA 94022

APPL-NO: 09/696666 [PALM]
DATE FILED: October 25, 2000

INT-CL-ISSUED: [07] G11 C 29/00, H03 M 13/00

US-CL-ISSUED: 714/770; 714/758 US-CL-CURRENT: <u>714/770</u>; <u>714/758</u>

FIELD-OF-CLASSIFICATION-SEARCH: 714/770, 714/758 See application file for complete search history.

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

PAT-NO ISSUE-DATE PATENTEE-NAME US-CL

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| October 2003 | Bots | |
| | August 1993 January 1994 February 1994 May 1994 August 1994 January 1995 February 1995 May 1996 July 1996 September 1997 October 1997 December 1997 May 1998 June 1998 January 1999 January 1999 September 1999 October 1999 December 1999 November 2001 | August 1993 Froemke et al. January 1994 Ng et al. February 1994 Halford May 1994 Jones et al. August 1994 Fuller January 1995 Kim February 1995 Brown et al. May 1996 Galbraith et al. September 1997 Suganuma et al. October 1997 Nemazie et al. May 1998 Baba et al. June 1998 Kojima et al. January 1999 Hashimoto et al. May 1999 September 1999 May 1999 Ikeda September 1999 Hitz et al. December 1999 Hitz et al. November 2001 Kleiman |

FOREIGN PATENT DOCUMENTS

| FOREIGN-PAT-NO | PUBN-DATE | COUNTRY CLASS |
|----------------|----------------|---------------|
| 694 25 658 | April 2001 | DE |
| 0 462 917 | December 1991 | EP |
| 0 462 917 | December 1991 | EP |
| 0 462 917 | December 1991 | EP |
| 0 466 296 | January 1992 | EP |
| 0 492 808 | July 1992 | EP |
| 0 492 808 | July 1992 | EP |
| 0 559 488 | September 1993 | EP |
| 0 997 900 | May 2000 | EP |
| 0 702 815 | August 2000 | EP |
| 0 927 395 | May 2003 | EP |
| 07-261947 | July 1996 | JP |
| WO 94/29807 | December 1994 | WO |
| WO 03/009286 | January 2003 | WO |

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Steven R. Kleiman et al., "Using NUMA Interconnects for Highly Available Filers", Jan.-Feb.

1999. IEEE.

Jai Menon et al., "The Architecture of a Fault-Tolerant Cached RAID Controller", IEEE Computer Society Press, Los Alamitos, California, May 16-19, 1993. XP000398988.

Slashdot. Tux2: "The Filesystem That Would Be King", Oct. 17, 2000.

IBM. "Automated Problem Reporting." IBM Technical Disclosure Bulletin, Nov. 1989, pp. 466-468, vol. 32, no. 6A.

Kegel. "Apache Problem Report Database." http://bugs.apache.org/, May 24, 1999.

ART-UNIT: 2133

PRIMARY-EXAMINER: Torres; Joseph D.

ATTY-AGENT-FIRM: Swernofsky Law Group PC

ABSTRACT:

A method and apparatus for a reliable data storage system using block level checksums appended to data blocks. Files are stored on hard disks in storage blocks, including data blocks and block-appended checksums. The block-appended checksum includes a checksum of the data block, a VBN, a DBN, and an embedded checksum for checking the integrity of the block-appended checksum itself. A file system includes file blocks with associated block-appended checksum to the data blocks. The file blocks with block-appended checksums are written to storage blocks. In a preferred embodiment a collection of disk drives are formatted with 520 bytes of data per sector. For each 4,096-byte file block, a corresponding 64-byte block-appended checksum is appended to the file block with the first 7 sectors including most of the file block data while the 8.sup.th sector includes the remaining file block data and the 64-byte block-appended checksum.

18 Claims, 3 Drawing figures

| *************************************** | | | | | | | | | |
|---|----------------|------------|------------------|------|-----------|--------|------|------------|-------|
| Full | Title Citation | Front Revi | w Classification | Date | Reference | Claims | KMIC | Drawi Desc | Image |
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9. Document ID: US 6910154 B1

L6: Entry 9 of 16 File: USPT Jun 21, 2005

US-PAT-NO: 6910154

DOCUMENT-IDENTIFIER: US 6910154 B1

TITLE: Persistent and reliable delivery of event messages

DATE-ISSUED: June 21, 2005

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Schoenthal; Scott San Ramon CA

ASSIGNEE-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY TYPE CODE

Network Appliance, Inc. Sunnyvale CA 02

APPL-NO: 09/642064 [PALM]

Record List Display Page 7 of 22

DATE FILED: August 18, 2000

INT-CL-ISSUED: [07] G06 F 11/00

US-CL-ISSUED: 714/18; 714/17 US-CL-CURRENT: 714/18; 714/17

FIELD-OF-CLASSIFICATION-SEARCH: 714/18, 714/17, 714/16, 714/15, 714/4, 714/12, 714/48

See application file for complete search history.

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

| PAT-NO | ISSUE-DATE | PATENTEE-NAME | US-CL |
|----------------|----------------|-------------------|---------|
| 4937763 | June 1990 | Mott | 364/550 |
| 5067099 | November 1991 | McCown et al. | 364/550 |
| 5224095 | June 1993 | Woest et al. | 370/401 |
| 5265229 | November 1993 | Sareen | 710/100 |
| 5423068 | June 1995 | Hecker | 455/438 |
| 6119244 | September 2000 | Schoenthal et al. | 714/4 |
| 6477564 | November 2002 | Freyssinet et al. | 709/202 |
| 6519712 | February 2003 | Kim et al. | 714/15 |
| <u>6545981</u> | April 2003 | Garcia et al. | 370/242 |

FOREIGN PATENT DOCUMENTS

FOREIGN-PAT-NO PUBN-DATE COUNTRY CLASS 0537098 April 1993 EP

OTHER PUBLICATIONS

Lowell, David E. and Chen, Peter M.; "Persistent Messages in Local Transactions", Jun. 1998; 17.sup.th ACM Symposium on Principles of Distributed Computing; Jun. 1998.

Borg, Anita et al.; "Fault Tolerance Under UNIX"; Feb. 1989; ACM Transactions on Computer Systems, vol 7, No. 1, Feb. 1989, pp. 1-247.

ART-UNIT: 2114

PRIMARY-EXAMINER: Baderman; Scott

ASSISTANT-EXAMINER: Lohn; Joshua

ATTY-AGENT-FIRM: Swernofsky Law Group PC

ABSTRACT:

The invention provides a method and system for persistent and reliable delivery of event messages. Those parts of the system responsible for delivering event messages are able to persistently maintain those event messages until the intended recipient of the event message confirms delivery of those event messages. Those parts of the system responsible for recovering

from system crashes and other system errors are able to persistently maintain those event messages until delivery, even after recovery from system crashes or other system errors. The system includes a set of event message producers, and maintains an event-indication queue of those event messages provided by the event producers using a set of pre-allocated resources. An event-distribution engine distributes event messages to intended recipients and, after having received confirmation that the event messages were received, removes them from the event-indication queue. Recipients of event messages receive the event messages, acknowledge their receipt thereof, and might take action in response to the event message. The system includes persistent memory, initialization memory, and recipient persistent memories, and provides upon recovery from system crashes or other system error, an ability to replay event messages recorded in those memories, to re-present them as event messages. A cluster of file servers collectively forming a highly-available system shares persistent memories, so that upon a system crash or other system error, at least one other file server has a record of those event messages.

27 Claims, 4 Drawing figures

| Full Title Citation Front Review Classification Date Reference Claims KMC Draw Desc Image | · · · · · · · · · · · · · · · · · · · | | |
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10. Document ID: US 6757695 B1

L6: Entry 10 of 16

File: USPT

Jun 29, 2004

US-PAT-NO: 6757695

DOCUMENT-IDENTIFIER: US 6757695 B1

TITLE: System and method for mounting and unmounting storage volumes in a network storage

environment

DATE-ISSUED: June 29, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Noveck; David B. Lexington MA
Chen; Raymond C. Campbell CA

ASSIGNEE-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY TYPE CODE

Network Appliance, Inc. Sunnyvale CA 02

APPL-NO: 09/925919 [PALM]
DATE FILED: August 9, 2001

INT-CL-ISSUED: [07] $\underline{H06} = \underline{17/30}$

US-CL-ISSUED: 707/200; 707/101 US-CL-CURRENT: 707/200; 707/101

FIELD-OF-CLASSIFICATION-SEARCH: 707/8, 707/102, 707/205, 710/8, 710/19, 710/302, 711/114,

711/118, 711/156, 714/4, 714/6

See application file for complete search history.

PRIOR-ART-DISCLOSED:

Record List Display Page 9 of 22

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| PAT-NO | ISSUE-DATE | PATENTEE-NAME | US-CL |
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| <u>5802366</u> | September 1998 | Row et al. | |
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U.S. Pending patent application entitled, Manipulation of <u>Zombie Files</u> and Evil-Twin Files, Ray Chen et al., Ser. No. 09/642,066, Filed: Aug. 18, 2000, all pages.

U.S. Pending patent application entitled, Operator Initiated Graceful Takeover in a Node Cluster, Cramer et al., Ser. No. 09/933,866, Filed: Aug. 20, 2001, all pages.

ART-UNIT: 2175

PRIMARY-EXAMINER: Mizrahi; Diane D.

ASSISTANT-EXAMINER: Mofiz; Apu

ATTY-AGENT-FIRM: Cesari and McKenna, LLP

ABSTRACT:

A system and method for mounting and unmounting volumes attached to a data storage system, such as a file server, tracks specific sub-states within each of the overall mounting and unmounting procedure states, in which specific file system requests are and are not allowed (as appropriate) with respect to the mounting/unmounting volume based upon the sub-state. More specifically, for mounting or unmounting, a storage operating system in the file server transitions a volume through a series of sub-states, as tracked in appropriate control structures. Each sub-state is characterized by a set of permitted operations that may be performed while the volume is in that sub-state, entrance and exit criteria for that sub-state, and restricted operations that may not be performed while the volume is that sub-state. During transaction request handling, the storage operating system validates each request against the sub-state to determine the disposition of the request. Then, depending on the request and the

Record List Display Page 10 of 22

sub-state, the storage operating system will execute the request, ignore the request, hold the request for later execution, or return an error message to the client originating the request.

24 Claims, 9 Drawing figures

| Full Title Citation Front | Review Classification | Date Reference Clain | s KMMC | Draws Desc | lmage |
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11. Document ID: US 6751635 B1

L6: Entry 11 of 16

File: USPT

Jun 15, 2004

US-PAT-NO: 6751635

DOCUMENT-IDENTIFIER: US 6751635 B1

TITLE: File deletion and truncation using a zombie file space

DATE-ISSUED: June 15, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Chen; Ray Campbell CA
Edwards; John K. Sunnyvale CA
Patel; Kayuri Cupertino CA

ASSIGNEE-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY TYPE CODE

Network Appliance, Inc. Sunnyvale CA 02

APPL-NO: 09/642066 [PALM]
DATE FILED: August 18, 2000

INT-CL-ISSUED: [07] G06 F 17/30

US-CL-ISSUED: 707/200; 707/10, 707/202, 707/206 US-CL-CURRENT: 707/200; 707/10, 707/202, 707/206

FIELD-OF-CLASSIFICATION-SEARCH: 707/10, 707/201, 707/203, 707/206, 707/204, 707/205, 707/200,

707/202, 711/113, 711/114, 709/203, 709/219, 709/229 See application file for complete search history.

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

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ART-UNIT: 2177

PRIMARY-EXAMINER: Homere; Jean R.

ASSISTANT-EXAMINER: Wong; Leslie

ATTY-AGENT-FIRM: Swernofsky Law Group PC

ABSTRACT:

A method and system for reliably performing extra-long operations in a reliable state-full system (such as a file system). The file system includes a separate portion of the file system reserved for files having extra-long operations in progress, including file deletion and file truncation. This separate portion of the file system is called the <u>zombie file</u> space; it includes a separate name space from the regular ("live") file system that is accessible to users, and is maintained as part of the file system when recording a consistency point.

27 Claims, 4 Drawing figures

Full Title Citation Front Review Classification Date Reference

12. Document ID: US 6728922 B1

L6: Entry 12 of 16 File: USPT Apr 27, 2004

US-PAT-NO: 6728922

DOCUMENT-IDENTIFIER: US 6728922 B1

TITLE: Dynamic data space

DATE-ISSUED: April 27, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Record List Display Page 13 of 22

Sundaram; Rajesh Mountain View CA
Viswanathan; Srinivvasan Fremont CA
Rowe; Alan San Jose CA
Kleiman; Steven R. Los Altos CA
Edwards; John K. Sunnyvale CA

ASSIGNEE-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY TYPE CODE Network Appliance, Inc. Sunnyvale CA 02

APPL-NO: 09/642062 [PALM]
DATE FILED: August 18, 2000

INT-CL-ISSUED: [07] G11 C 29/00

US-CL-ISSUED: 714/769; 714/763, 714/770 US-CL-CURRENT: 714/769; 714/763, 714/770

FIELD-OF-CLASSIFICATION-SEARCH: 711/162, 707/204, 707/102, 714/763, 714/764, 714/769, 714/770

See application file for complete search history.

PRIOR-ART-DISCLOSED:

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David Tweeten, "Hiding Mass Storage Under UNIX: Nasa's MSS-II Architecture", NAS Systems Division, NASA Ames Research Center, Moffett Filed, California, 1990 IEEEE.

ART-UNIT: 2133

PRIMARY-EXAMINER: Ton; David

ATTY-AGENT-FIRM: Swernofsky Law Group PC

ABSTRACT:

A data storage system, such as RAID, upgraded dynamically including multiple stages, providing error checking data without taking the system off-line. Checksums are computed from the data and placed in block 63 of the same disk. The combination of parity bits across the parity disk, the remaining uncorrupted data in the data disks, and checksums within each disk includes sufficient information to enable restoration of corrupt data. The system is upgraded by reserving permanent checksum blocks, writing the checksums to a volume block number, and placing the checksums in permanently reserved checksum block locations after first moving data already there to unreserved blocks.

42 Claims, 3 Drawing figures

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13. Document ID: US 6643654 B1

L6: Entry 13 of 16 File: USPT Nov 4, 2003

Record List Display Page 16 of 22

US-PAT-NO: 6643654

DOCUMENT-IDENTIFIER: US 6643654 B1

** See image for Certificate of Correction **

TITLE: System and method for representing named data streams within an on-disk structure of a

file system

DATE-ISSUED: November 4, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Patel; Kayuri Cupertino CA Muhlestein; Mark Tuscon AZ

ASSIGNEE-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY TYPE CODE

Network Appliance, Inc. Sunnyvale CA 02

APPL-NO: 09/891159 [PALM] DATE FILED: June 25, 2001

INT-CL-ISSUED: [07] $\underline{G06} + \underline{17/00}$

US-CL-ISSUED: 707/10; 709/217, 711/100 US-CL-CURRENT: 707/10; 709/217, 711/100

FIELD-OF-CLASSIFICATION-SEARCH: 707/1-10, 707/100-104, 707/200-206, 711/100-116, 711/117-146,

709/212-237

See application file for complete search history.

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

| PAT-NO | ISSUE-DATE | PATENTEE-NAME | US-CL |
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Hsiao et al., Performance evaluation of cache depot on CC-NUMA multiprocessors, Parallel and Distributed Systems, 1998, Proceedings, 1998, International Conference on, Dec. 14-16, 1998, pp. 519-526.*

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U.S. patent application Ser. No. 09/642,066, Ray Chen et al., filed Aug. 18, 2000. SNIA Storage Networking Industry Association; Common Internet File System (CIFS), Version: CIFS-Spec 0.9. Draft SNIA CIFS Work Grouip Work-in-Progress.

ART-UNIT: 2175

PRIMARY-EXAMINER: Jung; David

ATTY-AGENT-FIRM: Cesari and McKenna, LLP

ABSTRACT:

A technique provides on-disk representations of multiple named data streams for a file system of a network storage appliance. The network storage appliance or filer includes a file system that implements a Write Anywhere File Layout (WAFL) disk format, wherein files are described by inodes of which there may be various types, including directory and regular inodes. A named stream inode type is defined that represents named data streams in the WAFL file system. Multiple data streams may be stored on disk(s) of the filer as representations embodying the named stream inode type associated with a file. The names and file handles of the data streams are stored in a "hidden" directory within the file system that is referenced by the base mode. The hidden directory is represented as a stream dir inode type.

29 Claims, 8 Drawing figures

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|---------------------------|----------------------------|-----------|--------------|--------------------|
| Full Title Citation Front | Review Classification Date | Reference | Claims 1000C | Draws Desc Image |
| | | • | | |

14. Document ID: US 6636879 B1

L6: Entry 14 of 16

File: USPT

Oct 21, 2003

US-PAT-NO: 6636879

DOCUMENT-IDENTIFIER: US 6636879 B1

TITLE: Space allocation in a write anywhere file system

DATE-ISSUED: October 21, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Doucette; Douglas P. Freeland WA
Lewis; Blake Palo Alto CA
Edwards; John K. Sunnyvale CA

ASSIGNEE-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY TYPE CODE

Network Appliance, Inc. Sunnyvale CA 02

APPL-NO: 09/642065 [PALM]
DATE FILED: August 18, 2000

INT-CL-ISSUED: [07] G06 F 17/30

Record List Display Page 18 of 22

US-CL-ISSUED: 707/205 US-CL-CURRENT: <u>707/205</u>

FIELD-OF-CLASSIFICATION-SEARCH: 707/201, 707/202, 707/203, 707/204, 707/205, 360/48, 369/30.09,

711/165, 711/173, 714/4, 714/6

See application file for complete search history.

PRIOR-ART-DISCLOSED:

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| 5144659 | September 1992 | Jones | |
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| 5335235 | August 1994 | Arnott | |
| 5357509 | October 1994 | Ohizumi | |
| 5379417 | January 1995 | Lui et al. | |
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| 5502836 | March 1996 | Hale et al. | |
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| <u>5907672</u> | May 1999 | Matze et al. | |
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| 5950225 | September 1999 | Kleiman | |
| · <u>5963962</u> | October 1999 | Hitz et al. | 707/201 |
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| 6038570 | March 2000 | Hitz et al. | 707/204 |
| 6076148 | June 2000 | Kedem | |
| <u>6101585</u> | August 2000 | Brown et al. | |
| 6119244 | September 2000 | Schoenthal et al. | |
| 6138126 | October 2000 | Hitz et al. | 707/202 |
| <u>6289356</u> | September 2001 | Hitz et al. | 707/201 |

FOREIGN PATENT DOCUMENTS

| FOREIGN-PAT-NO | PUBN-DATE | COUNTRY | CLASS |
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| 0 492 808 | July 1992 | EP | |
| .0 497 067 | August 1992 | EP | |
| 0537098 | April 1993 | EP | |
| 0 552 580 | July 1993 | EP | |
| 0 569 313 | November 1993 | EP | |
| WO 91/13404 | September 1991 | WO | |
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ART-UNIT: 2175

PRIMARY-EXAMINER: Mizrahi; Diane D.

ASSISTANT-EXAMINER: Mofiz; Apu M

ATTY-AGENT-FIRM: Swernofsky Law Group PC

ABSTRACT:

A method and system for improving data access of a reliable file system is provided. In a first aspect of the invention, the file system determines the relative vacancy of a collection of storage blocks, herein called an "allocation area". This is accomplished by recording an array of binary numbers. Each binary number in the array describes the vacancy of a collection of storage blocks. The file system examines these binary numbers when attempting to record file blocks in relatively contiguous areas on a storage medium, such as a hard disk. When a request to write to disk occurs, the system determines the average vacancy of all the allocation areas and queries the allocation areas for individual vacancy rates such as sequentially. The system preferably writes file blocks to the allocation areas that are above a threshold related to the average storage block vacancy of the file system. If the file in the request to write is larger than the selected allocation area, the next allocation area above the threshold is preferably used to write the remaining blocks of the file.

39 Claims, 3 Drawing figures

Full | Title | Citation | Front | Review | Classification | Date | Reference | Claims | Claims | KMC | Draw Desc | Image |

15. Document ID: US 20020083081 A1

L6: Entry 15 of 16 File: DWPI

Jun 27, 2002

DERWENT-ACC-NO: 2002-583165

DERWENT-WEEK: 200262

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TITLE: File system operating method for file server system, involves recording changes to the zombie file space of a file system in a persistent memory

INVENTOR: CHEN, R C; EDWARDS, J ; PATEL, K

PRIORITY-DATA: 2001US-0932579 (August 17, 2001), 2000US-0642066 (August 18, 2000)

Record List Display Page 21 of 22

PATENT-FAMILY:

PUB-NO PUB-DATE LANGUAGE PAGES MAIN-IPC US 20020083081 A1 June 27, 2002 014 G06F012/00

INT-CL (IPC): $\underline{G06} + \underline{12}/\underline{00}$

ABSTRACTED-PUB-NO: US20020083081A

BASIC-ABSTRACT:

NOVELTY - The method involves recording changes to the zombie file space of a file system in a persistent memory.

USE - For file server system.

ADVANTAGE - Enables reliable execution of extra-long operations in a file system.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of a portion of a file system.

| Full Title Citation Front | Review Classification Date | Reference | Claims KMC Draw Desc Cliping Ima |
|---------------------------|----------------------------|-----------|----------------------------------|
| | | | |

16. Document ID: US 20050033775 A1, WO 200219110 A2, EP 1311948 A2, US 6751635 B1

L6: Entry 16 of 16 File: DWPI Feb 10, 2005

DERWENT-ACC-NO: 2002-339696

DERWENT-WEEK: 200512

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TITLE: File system operating method where file system includes live file space accessible to users and zombie file space not accessible to users recording changes to zombie file space in persistent memory

INVENTOR: CHEN, R; EDWARDS, J K; PATEL, K

PRIORITY-DATA: 2000US-0642066 (August 18, 2000), 2004US-0868189 (June 15, 2004)

PATENT-FAMILY:

| PUB-NO | PUB-DATE | LANGUAGE | PAGES | MAIN-IPC |
|-------------------|-------------------|----------|-------|------------|
| US 20050033775 A1 | February 10, 2005 | | 000 | G06F007/00 |
| WO 200219110 A2 | March 7, 2002 | E | 027 | G06F011/00 |
| EP 1311948 A2 | May 21, 2003 | E | 000 | G06F011/14 |
| US 6751635 B1 | June 15, 2004 | | 000 | G06F017/30 |

INT-CL (IPC): $\underline{606}$ \underline{F} $\underline{7/00}$; $\underline{606}$ \underline{F} $\underline{11/00}$; $\underline{606}$ \underline{F} $\underline{11/14}$; $\underline{606}$ \underline{F} $\underline{17/30}$

ABSTRACTED-PUB-NO: WO 200219110A

BASIC-ABSTRACT:

NOVELTY - The method involves recording changes to a zombie file space in a persistent memory. The method further involves transferring a file from live file space to the zombie file space. Links associating disk blocks with the file are broken in several steps while the file is associated with the zombie file space. The recording of changes includes recording the breaking of links in several steps. The live file space is altered to reflect the deletion operation.

Record List Display Page 22 of 22

USE - For file server systems in which it is desired to maintain file system consistency.

ADVANTAGE - Provides technique for extra-long operations in a reliable state-full system (such as a file system) that is not subject to known drawbacks.

DESCRIPTION OF DRAWING(S) - The figure shows a file structure in a system using a $\underline{\text{zombie file}}$ space.

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| <u>L6</u> | (zombie near file) | 16 | 5 <u>L6</u> | | | |
| <u>L5</u> | L4 and (zombie near file) | (|) <u>L5</u> | | | |
| <u>L4</u> | L3 and (deletion near file) | 7 | 7 <u>L4</u> | | | |
| <u>L3</u> | inaccessible near file | 158 | 3 <u>L3</u> | | | |
| <u>L2</u> | virtual near filespace | 2 | 2 <u>L2</u> | | | |
| <u>L1</u> | zombie near filespace | 3 | <u>L1</u> | | | |

END OF SEARCH HISTORY

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| <u>L9</u> | L8 and filespace | 3 | <u>L9</u> | |
| <u>L8</u> | (deletion near file) | 1483 | <u>L8</u> | |
| <u>L7</u> | L6 and filespace | 3 | <u>L7</u> | |
| <u>L6</u> | (zombie near file) | 16 | <u>L6</u> | |
| <u>L5</u> | L4 and (zombie near file) | 0 | <u>L5</u> | |
| <u>L4</u> | L3 and (deletion near file) | 7 | <u>L4</u> | |
| <u>L3</u> | inaccessible near file | 158 | <u>L3</u> | |
| <u>L2</u> | virtual near filespace | 2 | <u>L2</u> | |
| <u>L1</u> | zombie near filespace | 3 | <u>L1</u> | |

END OF SEARCH HISTORY